

# **Advanced Broadband Acoustic Clutter**

Charles W. Holland

The Pennsylvania State University  
Applied Research Laboratory  
P.O. Box 30, State College, PA 16804-0030  
Phone: (814) 865-1724 Fax (814) 863-8783 email: holland-cw@psu.edu

Grant Number: N00014-08-1-0098  
1 Nov 2007 – 31 Oct 2010

## **ABSTRACT**

The performance of active sonar systems in littoral environments is often limited by discrete clutter and diffuse bottom reverberation. Discrete clutter tends to be nearly ubiquitous, but its characteristics have been difficult to predict. It has also been difficult to predict the frequency and angular dependence of the seabed scattering strength, which is important for predicting the time dependence of the background reverberation upon which the clutter highlights are distributed. New measurement techniques were developed that have promising possibilities for use in the survey community including quantifying scattering strength frequency and angular dependence, localizing/quantifying clutter discrete scattering, and quantifying seabed reflection which is important for prediction of reverberation, clutter and target echo structure. In addition to measurement techniques modeling advances were made for rapid calculation of clutter and target echo, and a deeper understanding of the impact of seabed range dependence on propagation and clutter.

## **LONG TERM GOALS**

The long term goal is to improve performance of low-mid frequency active sonar systems against clutter.

## **OBJECTIVES**

The objectives are to identify/understand the mechanisms that lead to clutter and develop models that predict the temporal/spatial/frequency dependence of the observed clutter and background diffuse reverberation.

## **APPROACH**

The experimental approach was based upon exploiting both long-range observations of clutter and short-range, or direct-path observations (seabed scattering and reflection) of the features that give rise to the clutter. Direct path observations offer two significant advantages: a) the uncertainties associated with propagation (through a generally sparsely sampled ocean) are minimized, and b) the measurement

**20110124085**

**REPORT DOCUMENTATION PAGE**Form Approved  
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Service, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188) Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.**

<b>1. REPORT DATE (DD-MM-YYYY)</b> 28-01-2011		<b>2. REPORT TYPE</b> Final Report		<b>3. DATES COVERED (From - To)</b> 1-Nov 2007 to 31 Oct 2010	
<b>4. TITLE AND SUBTITLE</b> Advanced Broadband Acoustic Clutter Project				<b>5a. CONTRACT NUMBER</b>	
				<b>5b. GRANT NUMBER</b> N000-14-08-1-0098	
				<b>5c. PROGRAM ELEMENT NUMBER</b>	
<b>6. AUTHOR(S)</b> Charles W. Holland				<b>5d. PROJECT NUMBER</b> 15251	
				<b>5e. TASK NUMBER</b> 01	
				<b>5f. WORK UNIT NUMBER</b> 06110	
<b>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</b> Applied Research Laboratory The Pennsylvania State University P. O. Box 30 State College, PA 16804-0030				<b>8. PERFORMING ORGANIZATION REPORT NUMBER</b>	
<b>9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)</b> Davis B. Reeder Office of Naval Research 875 North Randolph Street Arlington, VA 22203-1995				<b>10. SPONSOR/MONITOR'S ACRONYM(S)</b> ONR	
				<b>11. SPONSORING/MONITORING AGENCY REPORT NUMBER</b>	
<b>12. DISTRIBUTION AVAILABILITY STATEMENT</b> Approved for Public Release; distribution is Unlimited					
<b>13. SUPPLEMENTARY NOTES</b> N/A					
<b>14. ABSTRACT</b> The performance of active sonar systems in littoral environments is often limited by discrete clutter and diffuse bottom reverberation. Discrete clutter tends to be nearly ubiquitous, but its characteristics have been difficult to predict. It has also been difficult to predict the frequency and angular dependence of the seabed scattering strength, which is important for predicting the time dependence of the background reverberation upon which the clutter highlights are distributed. New measurement techniques were developed that have promising possibilities for use in the survey community including quantifying scattering strength frequency and angular dependence, localizing/quantifying clutter discrete scattering, and quantifying seabed reflection which is important for prediction of reverberation, clutter and target echo structure. In addition to measurement techniques modeling advances were made for rapid calculation of clutter and target echo, and a deeper understanding of the impact of seabed range dependence on propagation and clutter.					
<b>15. SUBJECT TERMS</b> reverberation, clutter, seabed scattering, acoustic modeling, measurements					
<b>16. SECURITY CLASSIFICATION OF:</b>			<b>17. LIMITATION OF ABSTRACT</b>	<b>18. NUMBER OF PAGES</b>	<b>19a. NAME OF RESPONSIBLE PERSON</b> 5Charles W. Holland
<b>a. REPORT</b> Unclassified	<b>b. ABSTRACT</b> Unclassified	<b>c. THIS PAGE</b> Unclassified	Unlimited		<b>19b. TELEPHONE NUMBER (Include area code)</b> 814-865-1724



geometries are favorable to producing data from which hypotheses about the scattering mechanisms can be directly tested. The theoretical approach for reverberation, clutter and target echo structure was based in part on energy flux methods.

## RESULTS

The results have been extensively reported in the peer-reviewed literature and in conferences and symposia (see references below). A summary of key advances are listed below.

### *Modeling/analysis:*

- Developed a simple theory for propagation in a waveguide with range-dependent seabed properties (Refs [1,12]). The importance of the theory is that it provides some deep insights into the effects of range-dependence on propagation. This in turn has led to some deep insights into seabed variability on clutter (late in the project and hence to date unpublished).
- Developed high-fidelity clutter and target echo model that is computationally very efficient, faster than rays or normal modes (Ref [13]). The efficiency makes it attractive for potential use in simulation.
- Advanced the understanding (with modeling and measurements) of reverberation and clutter from sub-bottom interfaces (Refs [2, 7, 18]). Key result: despite some claims to the contrary in the ocean acoustics community, sub-bottom clutter can and does occur in shallow water environments. Detailed analysis illuminates the relation between the frequency and angle dependence of reflection, scattering, reverberation, propagation, and clutter in both theory and measurements. This is important because in some shallow water areas (especially those with significant riverine deposition) the reverberation and clutter may be controlled by sub-bottom mechanisms.
- Analyzed clutter data from bottomed shipwreck (with Doug Abraham, data from Clutter'07 Experiment) to examine dependence of clutter statistics on multipath (Ref [8]). Key result: in the shallow water environment on the Malta Plateau both modeled and measured statistics showed weak dependence on multipath. This can potentially simplify statistical approaches to clutter modeling.
- Quantified the uncertainties associated with geoacoustic inversion of reverberation data (Refs [3, 20]). Key result: reverberation predictions have large bias errors (order 10 dB+) even when there has been an REA or survey reverberation measurement and inversion in that same area. Also developed methods for mitigating those errors using one additional observation. This is important because it provides the basis to advance/improve survey reverberation measurements.
- Collaborated with University of Victoria (Jan Dettmer and Stan Dosso) to develop inversion methods for quantifying geoacoustic properties and their uncertainties (Ref [4]). This is important for a) measurement of sediment structures that lead to clutter and b) providing required inputs to validate clutter models.
- Analyzed seabed reflection coherence to estimate sediment geoacoustic properties that are important for modeling reflection and scattering from the seabed (Refs [4, 6]) (with Laurent Guillon, Ecolc Navale and PhD student Samuel Pinson)

### *Measurements:*

- Developed measurement method for sediment sound speed lateral variability from seabed bi-static scattering measurements (Ref [16])

- In collaboration with NURC, DRDC-A, NRL, and another PI at ARL-PSU, planned and conducted the highly successful Clutter09 experiment in the Straits of Sicily.
- Developed engineering design parameters in collaboration with Peter Nielsen (NURC) for measurements of seabed reflection from an AUV. Also used system to measure seabed scattering strength and isolate individual clutter features, confirming hypotheses about the mechanism leading to clutter in areas of submarine mud volcanoes. The clutter mechanism is not the mud volcanoes themselves (of order 100m in lateral spatial extent), but rather small carbonate accretions (of order 1-10m in lateral extent and a few meters high) distributed on the flanks of the mud volcano.

#### *Other:*

- Helped organize, with NATO Undersea Research Centre as lead, the International Symposium on Underwater Reverberation and Clutter Conference, Leri Italy, 9-12 September 2008. This resulted in a conference proceeding with 43 published papers.
- Served on Data Definition Committee for the ONR-PMW/120 Reverberation Workshop II and participated in the workshop (Austin, TX, May 2008) including generating energy flux model results for many test cases. The energy flux solutions compare very well with more sophisticated (i.e., computationally intensive) models, e.g., coupled mode.

## **IMPACT/APPLICATIONS**

The impact of individual accomplishments are listed above. Here, they are placed in a broader context. Some of the modeling developments appear to be useful for transition to the HiFAST/SAST programs inasmuch as they are extremely computationally efficient. One specific example is the clutter or target echo modeling in which realistic fluctuations in the echo structure could be very useful for simulation and training with little computational overhead. Another example is the new theory for propagation in a waveguide with range-dependent boundaries [3], which has potential to speed up point-to-point calculations by replacing a range-dependent marching solution with a range-independent solution using the geometric mean of the reflection coefficient and the arithmetic mean of the cycle distance. For incoherent models (e.g., ASPM) this could further enhance speed of computation, without loss of accuracy.

The AUV measurements have a promising potential for transition to the survey community to provide seabed reflection and scattering using a much reduced source level (compared to current use), reduction in ship time, reduction in sensitivity to water column dynamics and also biologies at a much higher spatial density.

## **TRANSITIONS**

The seabed scattering data from this program have been transitioned to the PMW-120 Ocean Bottom Characterization Initiative (OBCI, Mareus Speckhahn, program manager) for development of a seabed scattering database for the Naval Oceanographic Office. The scattering data have already been key to the determination of which modeling approach to use for database construction.



## RELATED PROJECTS

This project was part of the Broadband Clutter Initiative Joint Research Project (JRP) including ARL-PSU (USA), DRDC-A (CAN), the NATO Undersea Research Centre (Italy) and NRL-DC (USA). The PI served as leader for the JRP (external to NURC) in collaboration with Peter Nielsen who lead the project within NURC.

*PMW-120 OBCI Program:* Measurement results and analysis/modeling techniques developed in this program are being transitioned to the design of the first generation Naval Oceanographic Office bottom scattering database.

*ONR Applied Reverberation and Modeling Board:* that board seeks to enhance transitions of basic research in reverberation modeling to the applied community. Some of the modeling advances developed in this program may transition through the HiFAST FNC to the SAST simulation program.

*ONR Quantifying Predicting and Exploiting (QPE) Uncertainty:* seabed reflection data measured in this program are being leveraged to QPE for developing/validating methods for quantifying geoacoustic variability and uncertainty.

## PUBLICATIONS (Peer Reviewed)

1. Holland, C.W., Incoherent acoustic propagation over a range-dependent seabed, J. Acoust. Soc. Am., 128, 2596-2609, 2010.
2. Holland C.W. and Ellis, D.D., Shallow water reverberation from a sub-bottom interface: a comparison of modeling approaches, J. Comp. Acoust., 17, 29-43, 2009.
3. Holland C.W., Fitting data, but poor predictions: Reverberation prediction uncertainty when seabed parameters are derived from reverberation measurements, J. Acoust. Soc. Am., 2553-2562, 123, 2008.
4. Guillon L., and C.W. Holland, Coherence of signals reflected by the seafloor: numerical modeling vs experimental data, Traitement du signal (French), 25, 131-138, 2008.
5. Dettmer J., S.E. Dosso, and C.W. Holland, Joint time/frequency-domain inversion of reflection data for seabed geoacoustic profiles, J. Acoust. Soc. Am., 123, 1306-1317, 2008.

## PUBLICATIONS (Conference Proceedings)

6. Pinson, S., L. Guillon, and C.W. Holland, Geoacoustic characterization with an horizontal array by the image source method, European Conference on Underwater Acoustics, Istanbul, 229-236, 2010.
7. Holland C.W., Reverberation and clutter from sub-bottom mechanisms, in International Symposium on Underwater Reverberation and Clutter, edited by P.L. Nielsen, C.H. Harrison, and J-C LeGac, Lerici, Italy, 113-122, Sept. 2008.

8. Abraham D.A. and C.W. Holland, Spatially Compact Clutter, in International Symposium on Underwater Reverberation and Clutter, edited by P.L. Nielsen, C.H. Harrison, and J-C LeGac, Leriei, Italy, 199-208, Sept. 2008.
9. Nielsen P.L., C.W. Harrison, and C.W. Holland, Local bottom characterization using an Autonomous Underwater Vehicle (AUV), in International Symposium on Underwater Reverberation and Clutter, edited by P.L. Nielsen, C.H. Harrison, and J-C LeGac, Leriei, Italy, 345-352, Sept. 2008.

#### **PUBLICATIONS (Conferences, Symposia, and Workshops – abstracts only)**

10. Siderius M. and C.W. Holland, Passive seabed remote sensing using ambient noise: Comparisons with active techniques (A), J. Acoust. Soc. Am., 128, 2302, 2010.
11. Dettmer J., Holland C.W., Frequency dependence of attenuation in seabed sediments from Bayesian inference, ECUA Istanbul, 2010.
12. Holland, C.W., Energy Flux insights into effects of a range-dependent seabed on acoustic propagation, David Weston Memorial Work on Sonar Performance Assessment, Cambridge, England, April 2010.
13. Holland, C.W., Energy Flux Predictions for Sonar Assessment Problem A2, David Weston Memorial Work on Sonar Performance Assessment, Cambridge, England, April 2010.
14. Holland, C.W., and P.L. Nielsen, Seabed reflection measurements from an autonomous undersea vehicle: Probing seabed spatial variability, J. Acoust. Soc. Am., 126, 2315, 2009.
15. P. L. Nielsen, C. W. Holland, and R. Hollett, On the use of an autonomous underwater vehicle for seabed characterization. (A), J. Acoust. Soc. Am. 126, 2315, 2009.
16. Holland, C.W., and P.L. Nielsen and R. Hollett, Reverberation and scattering measurements from seabed clutter features using an autonomous undersea vehicle. (A), J. Acoust. Soc. Am. 126 2225, 2009.
17. Goldhahn R., J. Krolik, C. Holland, Waveguide invariant-based characterization of wideband active sonar clutter discretized (A), J. Acoust. Soc. Am., 125, 2704, 2009.
18. Holland C.W., Fine-grained sediment layers: an acoustic lens for sub-bottom sediment processes and structures (A), J. Acoust. Soc. Am., 123, 3084, 2008. (Invited)
19. Nielsen P. and C.W. Holland, Local bottom characterization using an Autonomous Underwater Vehicle (A), J. Acoust. Soc. Am., 123, 3109, 2008.
20. Holland C.W., Fitting data, but poor predictions: Reverberation prediction uncertainty when seabed parameters are derived from reverberation measurements, Technical Metrics Workshop, Bay St. Louis, April 2008.